

**"PATENT"****DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**Catalyst Modifiers And Their Use In The Polymerization Of Olefin(s)**

the specification of which is attached hereto unless the following box is checked:

[X] was filed on November 30, 2000 as Application Serial No. or PCT International Application No. 09/726,704  
and was amended on N/A (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate(s), or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate(s), or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)Priority Claimed

<u>                    </u> (Number)	<u>                    </u> (Country)	<u>                    </u> (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<u>                    </u> (Number)	<u>                    </u> (Country)	<u>                    </u> (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. § 119(e)(1)-(2) of any United States provisional application(s) listed below.

<u>                                    </u> (Application Number)	<u>                                    </u> (Filing Date)
<u>                                    </u> (Application Number)	<u>                                    </u> (Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

<u>                                    </u> (Application Serial No.)	<u>                                    </u> (Filing Date)	<u>                                    </u> (Status - patented, pending, abandoned)
<u>                                    </u> (Application Serial No.)	<u>                                    </u> (Filing Date)	<u>                                    </u> (Status - patented, pending, abandoned)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

NAMES	REGISTRATION NUMBERS
Jaimes Sher	34,726
Lisa Kimes Jones	41,878
Raul R. Montes	32,545
Darrell Warner	36,046
SEND CORRESPONDENCE TO:	DIRECT TELEPHONE CALLS TO:
Univation Technologies LLC 5555 San Felipe, Suite 1950 Houston, Texas 77056	Phone No.: (713) 892-3667 Facsimile No.: (713) 892-3687

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
	Wenzel	Timothy	T.
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Charleston	West Virginia	United States of America
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	888 Poplar Road	Charleston	West Virginia
			ZIP CODE
			25302

Inventor's signature

Date

1/23/01

FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
	Nagaki	Dick	Alan
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	South Charleston	West Virginia	United States of America
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	2304 Winchester Road	South Charleston	West Virginia
			ZIP CODE
			25303

Inventor's signature

Date

1/24/01

FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
	Peterson	Thomas	Henry
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
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POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY
	1311 Wychwood Road	Charleston	West Virginia
			ZIP CODE
			25314

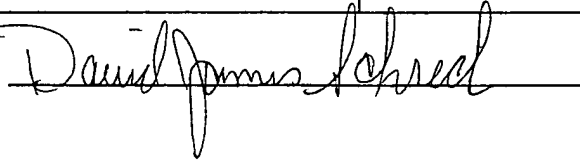
Inventor's signature

Date

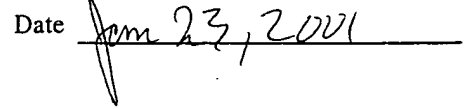
1/23/01

FULL NAME OF INVENTOR	LAST NAME Schreck	FIRST NAME David	MIDDLE NAME James	
RESIDENCE & CITIZENSHIP	CITY Cross Lanes	STATE OR FOREIGN COUNTRY West Virginia	COUNTRY OF CITIZENSHIP United States of America	
POST OFFICE ADDRESS	POST OFFICE ADDRESS 5226 Sun Valley Drive	CITY Cross Lanes	STATE OR COUNTRY West Virginia	ZIP CODE 25313

Inventor's signature



Date





## UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JUNE 26, 2001

PTAS

UNIVATION TECHNOLOGIES LLC.  
DARRELL WARNER  
5555 SAN FELIPE  
SUITE 1950  
HOUSTON, TEXAS 77056

DATE DOCKETED



\*101682142A\*

6/29/2001

By JAK

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/06/2001

REEL/FRAME: 011690/0287

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WENZEL, TIMOTHY T.

DOC DATE: 01/23/2001

ASSIGNOR:

NAGAKI, DICK A.

DOC DATE: 01/24/2001

ASSIGNOR:

PETERSON, THOMAS H.

DOC DATE: 01/23/2001

ASSIGNOR:

SCHRECK, DAVID J.

DOC DATE: 01/23/2001

ASSIGNEE:

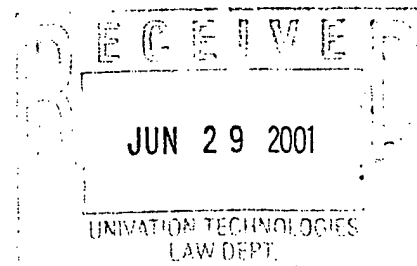
UNIVATION TECHNOLOGIES, LLC  
5555 SAN FELIPE, SUITE 1950  
HOUSTON, TEXAS 77056

SERIAL NUMBER: 09726704

FILING DATE: 11/30/2000

PATENT NUMBER:

ISSUE DATE:



011690/0287 PAGE 2

JEEVON JONES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

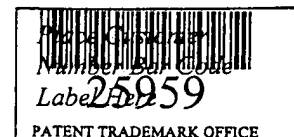
**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT,  
NOT ACCOMPANYING  
APPLICATION**

Application Number	09/726,704
Filing Date	11/30/2000
First Named Inventor	Wenz I, Timothy T.
Group Art Unit	1713
Examiner Name	Harlan, Robert D.
Attorney Docket Number	2000U035.US

I hereby appoint:

☒ Practitioners at Customer Number

25959



OR

☐ Practitioner(s) named below:

Attorney/Agent	Registration Number
Darrell E. Warner	36,046
Kevin M. Faulkner	45,427
Raul R. Montes	32,545
Osborne K. McKinney	40,084
Kelly A. Morgan	35,620

As my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

<input type="checkbox"/> Firm or Individual Name	Univation Technologies, LLC				
Address	5555 San Felipe				
Address	Suite 1950				
City	Houston	State	Texas	Zip Code	77056
Country	United States of America				
Telephone	(713) 892-3729			Fax	(713) 892-3687

I am the:

☐ Applicant

☒ Assignee of record of the entire interest

**SIGNATURE OF Applicant or Assign of Record**

Name (Print/Type)	Raul R. Montes, General Counsel and Secretary for Univation Technologies, LLC
Signature	<i>Raul R. Montes</i>
Date	1/6/2003

**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT,  
NOT ACCOMPANYING  
APPLICATION**

Application Number	09/726,704
Filing Date	November 30, 2000
First Named Inventor	Timothy T. W nz I
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number.	2000U035.US

I hereby appoint:

☐ Practitioners at Customer Number



Place Customer  
Number Bar Code  
Label Here

OR

DATE DOCKETED

☒ Practitioner(s) named below:

3/28/2001

By JAK

Attorney/Agent	Registration Number
Darrell E. Warner	36,046

As my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

<input checked="" type="checkbox"/> Firm or Individual Name	Univation Technologies, LLC				
Address	5555 San Felipe				
Address	Suite 1950				
City	Houston	State	Texas	Zip Code	77056
Country	United States of America				
Telephone	(713) 892-3668			Fax	(713) 892-3687

I am the:

☐ Applicant

☒ Assignee of record of the entire interest

**SIGNATURE OF Applicant or Assignee of Record**

Name (Print/Type)	Raul R. Montes, General Counsel and Secretary for Univation Technologies, LLC
Signature	
Date	3-17-01

04-23-2001



101682142

FORM PTO-1595

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

# **RECORDATION FORM COVER SHEET PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Timothy T. Wenzel  
Dick A. Nagaki  
Thomas H. Peterson  
David J. Schreck

4.6.01

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: Univation Technologies, LLC

Street Address: 5555 San Felipe, Suite 1950

City: Houston State: Texas ZIP: 77056

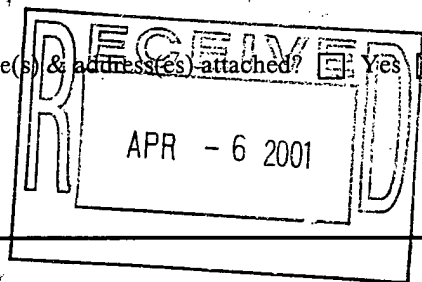
331282 U.S. PTO  
10/720546

112403

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date:

Additional name(s) & address(es) attached? ☒ Yes ☐ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
09/726,704

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: Darrell Warner  
Internal Address: Univation Technologies LLC.  
Mailing Address: 5555 San Felipe, Suite 1950  
City: Houston State: Texas ZIP: 77056

## 6. Total number of applications and patents involved: [1]

## 7. Total fee (37 CFR 1.41): \$40.00

☒ Authorized to be charged to deposit account

## 8. Deposit account number: 50-0589

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true Copy of the original document.

Darrell Warner

Name of Person Signing

Signature

April 4, 2001

Date

Total number of pages including cover sheet, attachments, and document: [3]

Mail documents to be recorded with required cover sheet information to:

COMMISSIONER OF PATENTS AND TRADEMARKS  
BOX ASSIGNMENT  
WASHINGTON DC 20231

04/19/2001 GTON11 00000178 500589 09726704

01 FC:581

40.00 CH



**ASSIGNMENT****INVNTOR or INVENTORS:**Timothy T. WenzelThomas Henry PetersonDick Alan NagakiDavid James Schreck

In consideration of One Thousand Dollars (\$1,000.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned person(s) named above, herein referred to as UNDERSIGNED, hereby agree(s) as follows:

1) UNDERSIGNED hereby assign(s) to Univation Technologies, LLC, a Delaware company, its successors, legal representatives and assigns, herein referred to collectively as ASSIGNEE, the entire right, title and interest, for the United States and all foreign countries, in and to UNDERSIGNED'S invention or improvement and to all patent applications and patents covering same which is described in a patent application entitled

**Catalyst Modifiers And Their Use In The Polymerization of Olefin(s)**

said application having been executed on the \_\_\_\_\_ day(s) of \_\_\_\_\_, 2000, and being further identified as Case No. 2000U035.US, U.S.S.N. 09/726,704, filed November 30, 2000; and all rights of priority created by said application under provisions of international conventions or treaties.

2) UNDERSIGNED agree(s) upon the request of ASSIGNEE to execute any and all applications for and documents relating to obtaining patents and the prosecution thereof for said invention or improvement, and any continuations of such applications or substitutes therefor or registrations thereof, and any specific separate assignments of any of the above required for recording in the United States Patent and Trademark Office and any foreign patent office that ASSIGNEE may deem necessary or expedient.

3) UNDERSIGNED agree(s) that in the event of any application based on said assigned invention or improvement, or patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in priority of invention proceedings, UNDERSIGNED will cooperate with ASSIGNEE to the best of the ability of UNDERSIGNED in the matter of preparing and executing all documents and giving and producing evidence in support thereof.

4) UNDERSIGNED agree(s) to perform upon ASSIGNEE'S request any and all affirmative acts to obtain United States and foreign patents covering said invention or improvement and to vest all rights therein hereby conveyed to ASSIGNEE, as fully and as entirely as the same would have been held and enjoyed by UNDERSIGNED if this assignment and sale had not been made.

5) UNDERSIGNED hereby authorize(s) ASSIGNEE's attorney to insert at paragraph 1, in this instrument the date(s) on which UNDERSIGNED executed the Declaration for the above-referenced patent application, as well as the filing date and application number thereof when known.

IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

DATE January 23, 2001 Timothy T. Wenzel (LS)  
Thomas Henry Peterson  
 Witness

DATE Jan 24, 2001 Dick Alan Nagaki (LS)  
David James Schreck  
 Witness

DATE

January 23, 2001Thomas Henry Peterson

Thomas Henry Peterson

(LS)

Joan Ella Cox  
Witness

DATE

Jan 23, 2001David James Schreck

David James Schreck

(LS)

Joan Ella Cox  
Witness

DATE

(LS)

Witness